

TECHNICAL NOTE

**How to use SNPs and other diagnostic diallelic genetic markers  
to identify the species composition of multi-species hybrids**

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1 **Abstract** Hybridization with non-native species is a threat to many taxa, but hybrids can be  
2 difficult to identify based on morphology. Genetic data is useful for estimating the ancestry of  
3 admixed populations, and diallelic markers such as single nucleotide polymorphisms are popular  
4 for such applications. When taxa are evolutionarily well diverged, loci frequently become fixed  
5 for different alleles in each taxa, and the degree of genetic admixture between two taxa can be  
6 estimated by counting diagnostic alleles for each taxa. However, when there has been  
7 hybridization between more than two taxa, and loci have only two alleles, the origin of each  
8 allele cannot be assigned ambiguously to a taxon. In this note, I show how the expectation-  
9 maximization algorithm can be used to solve this problem. A computer program for  
10 implementing this approach is available at [www.montana.edu/kalinowski](http://www.montana.edu/kalinowski).

**Keywords** Hybridization, Estimation, SNP, Diagnostic, EM algorithm

11 Invasive species are one of the greatest threats to global biodiversity (Vitousek et al. 1997). Of  
12 the many negative effects that non-native species can have on native taxa, hybridization and  
13 genetic introgression is one of the most pernicious (Rhymer and Simberloff 1996). Genetic  
14 introgression and outbreeding depression have contributed to the extirpation of many of plants  
15 and animals (Allendorf et al. 2001), and even small amounts of genetic admixture can  
16 substantially lower fitness in the wild (e.g., Muhlfeld et al. 2009).

17 One of the challenges to managing species that interbreed in the wild is accurate  
18 identification of hybrids and admixed populations (Allendorf et al. 2001). When species are  
19 morphologically similar, this can be difficult, especially when hybrid individuals or populations

20 have had only a small genetic contribution from non-native taxa. For example, cutthroat trout  
21 (*Oncorhynchus clarki*) and rainbow trout (*Oncorhynchus mykiss*) readily interbreed in the wild  
22 (Benke 2002), and this introgression presents a serious threat to the persistence of cutthroat trout  
23 (e.g., Shepard et al. 2003). However, identifying rainbow/cutthroat hybrids using morphology is  
24 difficult—especially when only a small proportion of the ancestry of a hybrid cutthroat trout is  
25 from rainbow trout (Leary et al. 1996).

26         Molecular markers offer a useful tool for accurately estimating the ancestry of hybrid  
27 individuals and populations. When F1-hybrids are fertile, and backcrosses of F1 hybrids to the  
28 native taxon are common, multiple loci must be used to estimate the ancestry of fish and  
29 populations. There are several types of molecular markers that can be used to this, and a variety  
30 of statistical methods available for conducting the analysis (e.g. Anderson and Thompson 2002,  
31 Pritchard et al. 2000), but when the species are evolutionarily well-differentiated, the simplest  
32 way to estimate the ancestry of potentially hybridized individuals is to use taxon-specific  
33 diagnostic markers, and count the proportion of genes in an individual or population that are non-  
34 native. Single nucleotide polymorphisms (SNPs) (Finger et al. 2009; Stephens et al. 2009) and  
35 insertion/deletions (Ostberg and Rodriguez 2004) are popular for such applications, because  
36 diagnostic loci can be identified in which all individuals in the native taxon have one allele and  
37 all the individuals in the non-native taxon have an alternative allele. Finding such diagnostic loci  
38 is often not difficult, and the resulting data is unambiguous when *two* taxa are compared.  
39 However, when hybridization may have occurred between *three* or more taxa, diallelic loci can  
40 be difficult to interpret. An example illustrates the difficulty.

41         Westslope cutthroat trout (*Oncorhynchus clarki lewisi*) are native to the Rocky  
42 Mountains of the northern United States. Yellowstone cutthroat trout (*Oncorhynchus clarki*

43 *bouvieri*) and rainbow trout have been extensively introduced throughout the range of westslope  
44 cutthroat trout, so that some populations have may contain ancestry from all three taxa. SNP data  
45 from a population of Westslope cutthroat trout in Yellowstone National Park (S. Kalinowski  
46 unpublished) contains such a mixture (Table 1). The ten individuals in the sample clearly show  
47 low levels of genetic introgression from Yellowstone cutthroat and rainbow trout. For example,  
48 Trout #1 has a Yellowstone cutthroat trout allele at *Locus9*, and Trout #2 has rainbow trout  
49 alleles at *Locus2* and *Locus3*. The possibility of admixture among all three species leads to  
50 ambiguity in estimating the degree of hybridization among individuals. Trout #9 exemplifies the  
51 problem. This fish has Yellowstone cutthroat ancestry *Locus8* and *Locus9* and rainbow trout  
52 ancestry at *Locus2*. Given this complex ancestry, the genotype of Trout #9 at *Locus1* (*CC*) is  
53 ambiguous. Both westslope and Yellowstone cutthroat trout should have a genotype of *CC*, so  
54 the ancestry of this fish cannot be estimated by simple gene counting. This problem extends to  
55 the sample as a whole. Given the ambiguity present in the diallelic loci, the frequency of  
56 westslope, Yellowstone, and rainbow alleles cannot be estimated by simply counting the number  
57 of alleles from each taxon.

58         Fortunately, there is a straightforward statistical solution to this problem. The  
59 expectation-maximization (EM) algorithm (Dempster et al. 1977) can be used to estimate the  
60 genetic composition of individuals and populations in the same manner as it is used to estimate  
61 the frequency of *A*, *B*, and *O* blood antigens (Ceppellini et al. 1955; see Weir 1996, Chapter 2,  
62 for a review) and the frequency of null alleles at microsatellite loci (Kalinowski and Taper 2006).  
63 The EM algorithm produces maximum-likelihood estimates of the frequency of alleles from each  
64 species, under the assumption that the frequency is the same for all loci. The analysis is identical

65 for estimating the ancestry of a single individual or for a sample of individuals for a population. I  
 66 will present the method in the context of estimating the ancestry of a single individual

67 The following notation is useful. Let  $P_i$  represent the frequency of the  $i^{th}$  taxon's genes in  
 68 an individual or population ( $\sum_i P_i = 1$ ). Let  $n_{jk}$  represent the number of times that allele  $k$  is  
 69 observed at locus  $j$  within an individual. Let the indicator variable  $X_{ijk}$  equal 1 if all individuals  
 70 in taxon  $i$  have allele  $k$  at locus  $j$ , and equal 0 if all individuals in taxon  $i$  have an alternative  
 71 allele. Let  $N_{Loci}$  denote the number of co-dominant diploid loci genotypes that have been  
 72 genotyped. Let  $N_{Sample}$  represent the number of genes sampled for the individual (if there is no  
 73 missing data,  $N_{Sample} = 2N_{Loci}$ ). Lastly, let  $N_{Alleles(j)}$  represent the number of alleles at locus  $j$ .  
 74 For most applications with SNPs and indels, this will equal 2, but there is no restriction on the  
 75 total number of alleles (provided all individuals in the taxa have the same allele).

76 The EM algorithm uses iteration to find maximum-likelihood estimates of taxon-specific  
 77 allele frequencies. Given an estimate of the allele frequencies in a taxon,  $P_i$ , a better estimate,  $P'_i$ ,  
 78 can be obtained from

$$P'_i = \frac{1}{N_{Sample}} \sum_{j=1}^{N_{Loci}} \sum_{k=1}^{N_{Alleles(j)}} n_{jk} \left( \frac{X_{ijk} P_i}{\sum_{i'}^{N_{Taxa}} X_{ijk} P_{i'}} \right)$$

79 Once  $P'_i$  is obtained, it can be used as an estimate of  $P_i$  to obtain an even better estimate ( $P'_i$ ) (using  
 80 the above equation). Iteration is continued until estimates converge. In practice, it is convenient  
 81 to stop iteration when the total sum of the absolute value of changes between iterations is less  
 82 than  $10^{-6}$ .

83 The method above is equally useful for estimating the frequency of taxon-specific alleles  
 84 in a sample. In this application,  $N_{Sample}$  in the equation above is the total number of genes in the  
 85 sample. If there is no missing data, this will equal  $2 \times N_{Loci} \times$  the number of individuals sampled.

86           A computer program, *Clarcki*, is available from the author's website  
87 ([www.montana.edu/kalinowski](http://www.montana.edu/kalinowski)) for estimating the ancestry of individuals and populations using  
88 SNP data. The program runs on the Windows operating system. A user's manual and sample  
89 data files are also available.

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92 **Table 1.** Sample genotypes for nine diagnostic SNP loci in 10 trout of unknown ancestry. The  
 93 population is within the range of Westslope cutthroat trout. Alleles that known to be non-native  
 94 are identified underlined and shown in bold. Loci 1-3 have alleles that are unique in rainbow  
 95 trout (RBT). Loci 4-6 have alleles that are unique in westslope cutthroat trout (WCT). Loci 7-9  
 96 have alleles that are unique to Yellowstone cutthroat trout (YCT).

	Locus 1	Locus 2	Locus 3	Locus 4	Locus 5	Locus 6	Locus 7	Locus 8	Locus 9
WCT allele	C	G	A	A	T	T	G	AA	GG
YCT allele	C	G	A	C	C	C	A	GG	TT
RBT allele	T	T	T	C	C	C	G	AA	GG
Trout #1	CC	GG	AA	AA	TT	<u>CT</u>	GG	AA	<u>GT</u>
Trout #2	CC	<u>GT</u>	<u>AT</u>	AA	<u>CT</u>	TT	GG	AA	GG
Trout #3	CC	GG	<u>AT</u>	AA	TT	TT	GG	AA	GG
Trout #4	CC	GG	AA	AA	TT	TT	GG	AA	GG
Trout #5	<u>CT</u>	GG	<u>AT</u>	AA	TT	TT	GG	AA	GG
Trout #6	CC	GG	AA	<u>CA</u>	<u>CT</u>	TT	GG	AA	GG
Trout #7	<u>CT</u>	GG	AA	AA	<u>CT</u>	<u>CT</u>	GG	AA	GG
Trout #8	CC	GG	<u>AT</u>	AA	TT	TT	<u>GA</u>	AA	GG
Trout #9	CC	<u>GT</u>	AA	<u>CA</u>	<u>CT</u>	<u>CT</u>	GG	<u>GA</u>	<u>GT</u>
Trout #10	CC	GG	AA	AA	TT	<u>CT</u>	GG	<u>GA</u>	GG

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**Table 2.** Estimates of species composition for the  
10 trout whose genotypes are shown in Table 1.

	Proportion		
	WCT	YCT	RBT
Trout #1	0.83	0.17	
Trout #2	0.75		0.25
Trout #3	0.92		0.08
Trout #4	1		
Trout #5	0.83		0.17
Trout #6	0.82	0.09	0.09
Trout #7	0.75		0.25
Trout #8	0.84	0.08	0.08
Trout #9	0.5	0.33	0.17
Trout #10	0.83	0.17	

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